

# Genetic factors influencing HIV infection: a review

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## Abstract

Patient's genetic background, especially in the HLA and CCR5 regions, strongly influences outcomes of HIV-1 infection. Understanding how host genetic factors can contribute to disease progression can help guide intervention strategies. To date, it has been estimated that HLA and CCR5 loci account for around 13% of variations in viremia set point. However, a key question in understanding all complex phenotypes, including HIV-1 progression, is what degree of influence different genetic variants can have. Presence of a 32bp deletion in *CCR5* gene is associated with slower progression of HIV infection and positive effect on survival among cART untreated patients. Some studies have assessed CCR5-Δ32 as the most potent protective variant, both in immunological and viremic context, unrelated to HLA. Variants of CCR2 (rs1799864) are associated with slower progression to AIDS. CX3CR1 variant (rs3732378) may limit the shift in HIV-1 tropism from R5 to X4. This polymorphism may influence both disease progression and HIV tropism. HLA-C -35 (rs9264942) C/C variant is associated with a significant reduction in HIV viral load compared to T/T homozygote. Moreover, HLA-B\*5701 has been confirmed to be more common in patients with slow disease progression to AIDS.

Patient's genetic background, especially in HLA and CCR5 regions, strongly influences the progression of HIV-1 infection as well as viremic and immunologic values.

HIV AIDS Rev 2023; 22, 1: 1-5  
DOI: <https://doi.org/10.5114/hivar.2022.121398>

**Key words:** HIV-1, CCR2 (rs1799864), CCR5-Δ32 (rs333), HLA-B\*5701, HLA-C -35 (rs9264942).

## Introduction

### Human immunodeficiency virus

HIV (human immunodeficiency virus) belongs to the genus of lentiviruses, the retrovirus family. It is a group VI RNA virus (ssRNA-RT) that causes acquired immune deficiency syndrome (AIDS). Most likely it evolved from simian immunodeficiency virus (SIV) [1]. HIV virion is spherical [2] in shape, with a double lipoprotein envelope with glycoproteins, including trans-membrane gp41 and extra-membrane gp120. Inside viral capsid, apart from genetic material, there

are reverse transcriptase (RT), HIV protease, and integrase (IN) [3].

HIV is divided phylogenetically into HIV-1 and HIV-2, and both are morphologically indistinguishable from each other, but differ in their genetic sequence and antigenic structure. In Poland, practically, only HIV-1 infections are present. HIV-1 is differentiated into several types, such as M, N, O, and P, and type M is divided into several sub-types, including A-D, F-H, J, and K [4].

HIV infects cells that have a CD4 receptor on their surface. These are mainly T helper lymphocytes, but also macrophages, dendritic cells, microglia, monocytes, eosinophils,

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**Article history:**  
Received: 01.12.2021  
Received in revised form: 07.01.2022  
Accepted: 18.02.2022  
Available online: 20.11.2022



and thymus cells. Entry into host cell begins at the junction of gp120 glycoprotein with CD4 membrane receptor [5, 6]. The combination of gp120 with CD4 initiates changes in cell conformation revealing coreceptor target sites, of which the main role is played by CCR5 receptor ( $\beta$ -chemokine receptor) and CXCR4 ( $\alpha$ -chemokine receptor) [7]. After the gp41 domain is exposed, the sheath fuses with the host cell membrane, and the virion enters the cell cytoplasm. Viral RNA is then reverse transcribed (viral enzyme: reverse transcriptase). Then, the viral DNA is transported to the cell nucleus and incorporated into genetic material of the host cell with the help of viral integrase enzyme. This is followed by translation of genetic material and later, formation of viral proteins. Resulting virions leave the cell by budding and lysing it [8].

The HIV infectious materials are blood, semen, pre-ejaculate, vaginal and rectal secretions, mammary gland secretions, or unfixed human tissues. Routes of HIV infection are associated with infectious materials, i.e., intravenous injections with non-sterile equipment, transfusion of infected blood, occupational exposures, or sexual contacts as well as vertical transmissions (mother-to-child) [9].

### Natural course of HIV infection

Natural course of HIV infection consists of early retroviral infection (described in 2003 by Fiebig *et al.* [10]), period of symptomatic HIV infection, and finally, acquired immunodeficiency syndrome (AIDS). According to Fiebig *et al.*, primary HIV-1 infection consists of 6 stages:

- Stage I: HIV in blood samples is only detected by molecular methods.
- Stage II: positive tests for p24 antigen and HIV-1 RNA, and non-reactive EIA antibodies.
- Stage III: RNA of HIV-1-positive, p24 antigen, IgM-reactive EIA-sensitive antibodies, but Western blot without HIV-1 specific bands.
- Stage IV: as stage III, but additionally undefined Western blot results, i.e. presence of HIV-1-specific Western blot bands that do not meet interpretation criteria for FDA-defined Western blot reactive test (reactivity of two of the following three bands: p24, gp41, gp120/160).
- Stage V: as stage IV, but reactive Western blot except for no p31 reactivity.
- Stage VI: as stage V, but complete Western blot reactivity, including p31 band.

From the moment of infection to the onset of full-blown AIDS, an average of about 10 years passes, during which infections associated with immunodeficiency caused by HIV not meeting criteria of opportunistic infections, may occur with varying frequency. The highest level of viremia is observed in patients with acute retroviral disease and later, in the phase of full-blown AIDS. Studies on natural history of HIV infection have estimated that during the first 8 years of follow-up, viremia increases by 0.04 log copies/ml/year [11]. It has been estimated that almost everyone with HIV would experience progression of infection if left untreated.

Within this group, special cases of so-called 'elite and viremic controllers': people controlling HIV infection without ARV treatment are found. Elite controllers are patients who, despite being infected, maintain undetectable HIV viral load, and viremic controllers are patients who do not achieve a viral load higher than 50-2,000 copies/ml, despite no antiretroviral treatment [12]. Typical loss of CD4 lymphocytes during HIV infection is 30-40 CD4 lymphocytes/ $\mu$ l/year. It has been observed that, although patients who are elite controllers have undetectable viremia, they can lose CD4 lymphocytes as much as 53/ $\mu$ l/year [11].

Progression of immunodeficiency is inhibited by effective antiretroviral therapy. In addition to its' specific genetic variants, they may have a significant influence on both the immune and viremic response of the host.

Patient's genetic background, especially in the HLA and CCR5 regions, strongly influences the outcomes of HIV-1 infection. Understanding how host genetic factors can contribute to disease progression, can help guide intervention strategies. To date, it has been estimated that the HLA and CCR5 loci account for around 13% of the variation in viremia set point [13]. However, a key question in understanding all complex phenotypes, including HIV-1 progression, is what degree of influence different genetic variants can have [14].

### Purpose

The aim of the study was to indicate the current state of knowledge of the impact of genetics on the clinical picture of HIV infection, and to indicate fields, in which further research and assessment of patients can be possible.

### Brief description of the status of knowledge

#### Genetic variants influencing HIV infection

##### CCR5

CCR5 is one of the main coreceptors involved in HIV-1 entry into the cell. Polymorphisms in the coding gene as well as in the promoter of this gene cause changes in the expression of receptors on the cell surface, and therefore affect the progression of infection [15, 16]. CCR5, also known as CD195, is one of the  $\beta$ -chemokine receptors. The gene that encodes this receptor is found on chromosome 3, the p branch at position 21. CCR5 has many allelic variations, one of which is the delta32 mutation (rs333), which causes deletion of 32 bp, ultimately producing a non-functional receptor. HIV-1 requires the CCR5 and CXCR4 receptors of CD4 lymphocyte to bind to the viral gp120 glycoprotein to enter the cell. When a  $\Delta$ 32 mutation occurs, HIV-1 is unable to enter T cells. People who are homozygous,  $\Delta$ 32- $\Delta$ 32, are in fact resistant to HIV-1 infection [17]. CCR5- $\Delta$ 32 occurs in 5-14% of European citizens, but almost in none citizens of Asia and Africa [17, 18].

The presence of a 32 bp deletion in the *CCR5* gene is associated with slower progression of HIV infection and a positive effect on survival among cART untreated patients [19]. Various studies have assessed *CCR5-Δ32* as the most potent protective variant, both in immunological and viremic context, unrelated to HLA [13, 20].

The *CCR5* promoter (rs1799988) is one of the genetic variants influencing progression to HIV. Its protective effect involves modifying the expression of *CCR5* receptors on the surface of CD4 cells, which changes the ability of HIV to infect other cells [21].

### *CCR2*

*CCR2* is a chemokine receptor also known as CD192. It is encoded by the *CCR2* gene, which is responsible for encoding of two isoforms of monocyte chemoattractant-1 CCL2 receptor (responsible for monocyte chemotaxis). This protein is influencing migration of monocytes in inflammatory diseases, such as rheumatoid arthritis, and is also responsible for inflammatory response to tumors. Receptors encoded by this gene mediate agonist-dependent calcium mobilization and inhibit adenylate cyclase.

In an animal model, mice lacking *CCR2* were more likely to develop disorders similar to Alzheimer's dementia [22], relatively more eosinophils were detected in their adipose tissue, and had a greater tendency to deposit this tissue with a high-fat diet [23]. HIV-1, in addition to using the *CCR5* receptor to enter the cell, may use other receptors, including *CCR2*. Variants of this trans-membrane receptor are associated with slower progression to AIDS, while its impact on susceptibility to HIV-1 infection is not fully understood till date [24].

### *CX3CR1*

*CX3CR1* is a gene encoding a protein of the same name (*CX3CR1*), also known as a fractalkine receptor, or a paired G-protein receptor 13 (GPR13), it is a *CX3CL1* chemokine binding protein, including fractalkine or neurotactin [25]. In addition to the function of the *CX3CL1* ligand for fractalkine, this protein has an influence on adhesion and migration of leukocytes. Moreover, *CX3CR1* is also a co-receptor for HIV-1, and plays a role in the entry of the virus into the cell. Modifications of this gene may result in greater susceptibility to HIV-1 infection and faster progression to acquired immune deficiency syndrome (AIDS) [26].

For *CX3CR1* variant (rs3732378), an allele of this SNP may limit the shift in HIV-1 tropism from R5 to X4. This effect may be related to the amount of co-receptors on the cell surface. Polymorphism of this chemokine receptor gene may influence both disease progression and HIV tropism [27].

### *HLA-C*

Rs9264942 is a 5' region of the *HLA-C* gene 35kb from the beginning of transcription, which can occur in different genetic variants (T/T, C/T, and C/C). About 10% of Euro-

pean citizens carry the C/C variant, which is associated with a significant reduction in HIV viral load compared to T/T homozygote [28, 29]. HLA-C antigens play a key role in the control of HIV infection, both by acting as ligands for KIR presented on NK cells and directly by presenting the antigen to cytotoxic T lymphocytes [30-32]. Degree of NK lymphocyte activation depends on HLA-C expression [33]. This mechanism is most likely related to the level of HLA-C mRNA and surface expression depending on the given SNP. Among C/C homozygotes, the overall expression level of HLA-C was approximately 1.7 times higher than in cases with -35 T/T rs926494243 genotype. HLA-C influences immune activity in both chronic viral infections, i.e., HIV and HCV, and in autoimmune diseases, such as Crohn's disease, autoimmune liver disease, Graves' disease, and psoriasis [30, 34-37].

### *HLA-B\*5701*

*HLA-B\*57* is a split B17 antigen, and its designation is widely used in care of HIV-infected patients, as it determines abacavir hypersensitivity reaction. Prior to a routine introduction of *HLA-B\*5701* in HIV testing, approximately 8% of patients receiving abacavir experienced hypersensitivity reactions, including the risk of developing a life-threatening anaphylactic reaction, if re-administered with the drug. Only determination of *HLA-B\*57* made it possible to reduce this risk, thanks to a positive prediction value (PV) of 61.2%, a negative PV of 95.5%, a sensitivity of 44%, and a specificity of 96% [38]. The prevalence of this genetic variant ranges from 1.53% to 7.75%. In Europe, it is around 4.98% [39]. Earlier studies by the Szczecin Center showed that it occurs in approximately 4.7% of infected Poles [28, 40]. Protective effect of *HLA-B\*5701* is presumed to result from more effective HLA I T cell response to HIV-1 antigens, which is associated with less mutational escape leading to a better replication control and lower viral load [29, 41-45].

One variant widely associated with a significant protective effect on HIV replication and delayed disease progression is *HLA-B\*5701* [42]. It has been confirmed that this allele is more common in patients with slow disease progression to AIDS, including elite HIV controllers [42]. *HLA-B\*5701* may also have a significant effect in diseases, such as psoriasis [46, 47] and drug-related liver injury (DILI) [48]. *HLA-B\*5701* is currently the only genetic variant that is routinely and extensively tested in HIV-infected patients.

Many genetic variants affect the course and progression of HIV infection, especially in the HLA and *CCR5* regions. In addition, a number of other HLA variants, such as *HLA-Cw0102* or *HLA-B\*2705* as well as allele combinations, including *HLA-B\*5701-Cw0602*, *HLA-B\*2705-Cw0102*, and *HLA-B\*3801-Cw1203*, were associated with modification of HIV titre and differences in the rate of deterioration of immune system, which may affect survival among people living with HIV/AIDS (PLWHA) [49]. A wide range of genetic variants influencing the progression of infection provides important opportunities in a detailed patient's assessment.

## Conclusions

Patient's genetic background, especially in the HLA and CCR5 regions, strongly influences the progression of HIV-1 infection as well as viremic and immunologic values. Of the numerous variants affecting HIV infection, only HLA B5701 is widely performed in clinical practice, which makes it difficult to evaluate more widely some of these variants influencing HIV infection.

## Conflict of interest

The authors declare no conflict of interest.

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